PATEN

S/N Unknown

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

UNO et al.

Examiner:

Unknown

Serial No.:

Unknown

Group Art Unit:

Unknown

Filed:

February 15, 2005

Docket No.:

10873.1606USWO

Title:

OPTICAL INFORMATION RECORDING MEDIUM AND METHOD

FOR MANUFACTURING THE SAME

**CERTIFICATE UNDER 37 CFR 1.10**:

"Express Mail" mailing label number: EV 495868497 US

Date of Deposit: February 15, 2005

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indigested above and is addressed to: Mail Stop PCT, Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-

Name: David Ortiz

# **INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))**

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

### Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. Enclosed for the Examiner's information is a copy of the International Search Report. At least some of the references were recently cited in an International Search Report mailed December 9, 2003.

This statement should be considered because it is submitted within three months of the filing date of the above-identified application, which is not an application under 37 C.F.R. § 1.53(d). Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

A copy of any foreign patent document or "Other Document" listed on the Form 1449 is enclosed, in accordance with 37 C.F.R. §1.98(a)(2). Because this application was filed after June 30, 2003, copies of the U.S. Patents and U.S. patent publications listed on the enclosed Form 1449 are not provided.

A concise explanation of the relevance of each non-English language document or other information is as follows (37 C.F.R. §1.98(a)(3)):

WO 98/09823 corresponds with U.S. Patent No. 6,229,785.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the references are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. .

Respectfully submitted,

23552
PATENT TRADEMARK OFFICE

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Dated: February 15, 2005

Douglas P. Mueller

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DPM/sbd

Date Mailed: February 15, 2005

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FORM 1449\*

#### INFORMATION DISCLOSURE STATEMENT

#### IN AN APPLICATION

(Use several sheets if necessary)

Docket Number: Application 10873.1606USWO Unknown

Application Number:

Applicant: UNO et al.

Filing Date: February 15,

Group Art Unit: Unknown

		,	U.S. PATENT DOCUMEN	NTS			
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	6,229,785	05/2001	Kitaura et al.				
	2002/0022105	02/2002	Kitaura et al.				
	2002/0122366	09/2002	Kitaura et al.				
	2002/0146875	10/2002	Yamaguchi et al.				
	2003/0134077	07/2003	Narumi et al.				
_	2003/0134229	07/2003	Yasuda et al.				
		FOI	REIGN PATENT DOCUM	1ENTS			
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	98/09823	03/1998	WIPO			See IDS	
-4							
	OTHER	DOCUMENT	S (Including Author, Title,	Date, Pertinent I	Pages, Etc.)		
	Kubota, "'	Wave Optics", I	wanami Shoten (1971): pp.	199-236, with it	s partial English t	ranslation (pp.	206-214)
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PATENT TRADEMARK OFFICE

<b>EXA</b>	MIN	ER
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DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.